



# St Philomena School



## Complaints Handling Policy

Updated Aug 2024

<b>Purpose:</b>	The purpose of this policy is to provide written processes about receiving, assessing, investigating and otherwise dealing with complaints. <sup>1</sup>	
<b>Scope:</b>	Any person directly affected by the subject of a complaint. Examples may include staff, students or a student's parent or guardian, contractors, or community members.	
<b>Status:</b>	Approved	<b>Supersedes:</b> Matters of Concern and Grievances Policy March 2017
<b>Authorised by:</b>	School Governing Body Director & School Principal	<b>Date of Authorisation:</b> Aug 2024
<b>References:</b>	<ul style="list-style-type: none"> <li>• <a href="#">Education (Accreditation of Non-State Schools) Regulation 2017 (Qld)</a></li> <li>• <a href="#">Fair Work Act 2009 (Cth)</a></li> <li>• <a href="#">Work Health and Safety Act 2011 (Qld)</a></li> <li>• <a href="#">Privacy Act 1988 (Cth)</a></li> <li>• <a href="#">Anti-Discrimination Act 1991 (Qld)</a></li> <li>• <a href="#">Australian Human Rights Commission Act 1986 (Cth)</a></li> <li>• <a href="#">Sex Discrimination Act 1984 (Cth)</a></li> <li>• <a href="#">Age Discrimination Act 2004 (Cth)</a></li> <li>• <a href="#">Disability Discrimination Act 1992 (Cth)</a></li> <li>• <a href="#">Racial Discrimination Act 1975 (Cth)</a></li> <li>• <a href="#">Standards Australia, Guidelines for complaint management in organizations (ISO 10002:2022, NEQ)</a></li> <li>• St Philomena School Enrolment Contract</li> <li>• St Philomena School Complaints Handling Procedure</li> <li>• St Philomena School Child Protection Policy</li> <li>• St Philomena School Work Health and Safety Policy</li> <li>• St Philomena School Behaviour Management Policy</li> <li>• St Philomena School Anti-bullying Policy</li> <li>• St Philomena School Code of Conduct</li> <li>• St Philomena School Anti-Discrimination Policy</li> <li>• St Philomena School Sexual Harassment Policy</li> <li>• St Philomena School Disability Discrimination Policy</li> <li>• St Philomena School Workplace Bullying Policy</li> <li>• St Philomena School Privacy Policy</li> <li>• St Philomena School Board Charter</li> </ul>	
<b>Review Date:</b>	Annually	<b>Next Review Date:</b> Aug 2025
<b>Policy Owner:</b>	School Governing Body	

<sup>1</sup> [Education \(Accreditation of Non-State Schools\) Regulations 2017, s.7](#)

## Policy Statement

St Philomena School acknowledges the right of students, parents/guardians, staff and others to complain when dissatisfied with the school's services, including an action, inaction or decision of the school. The school encourages constructive criticism and complaints. St Philomena School is committed to ensuring that complaints received are handled in a responsive, efficient, consistent, effective, transparent and fair way.

St Philomena School will ensure employees can recognise, receive, and appropriately refer complaints to the informal or formal complaints procedure.

St Philomena School recognises that time spent on handling complaints can be an investment in better service to students and parents/guardians and a better culture for employees, and views complaints as part of an important feedback and accountability process.

## Definitions

---

<b>Complaint</b>	An expression of dissatisfaction made to or about the school, related to the school's services, staff or the handling of a complaint, where a response or resolution is explicitly or implicitly expected or legally required. <sup>2</sup>
<b>Informal Complaint</b>	A complaint about a matter that is likely to be simple, straight forward, easily manageable, or minor, where a simple or quick resolution is appropriate such as discussion of the matter with a relevant staff member.
<b>Formal Complaint</b>	A complaint about a matter that is serious, complex or may pose a threat to the health and safety of any person. Examples include serious allegations or breaches of policy, complaints against a senior staff member, including the principal or an informal complaint that could not be resolved informally. Assessment of the complaint is required by a relevant member of the senior leadership team.
<b>Complainant</b>	The person, organisation or their representative making a complaint. <sup>3</sup>
<b>Respondent</b>	The person who is referred to in a complaint by a complainant as the person responsible for their concerns or who can best respond to their concern.

---

## Complaints Handling Principles

St Philomena School will manage complaints according to the following (which include principles of procedural fairness):

- complaints will be taken seriously, dealt with fairly and objectively, without judgement and addressed in a reasonable timeframe

---

<sup>2</sup> Standards Australia, Guidelines for complaint management in organizations (ISO 10002:2018, NEQ), s.4.3

<sup>3</sup> Standards Australia, Guidelines for complaint management in organizations (ISO 10002:2018, NEQ), s.4.2

- complaints should be resolved with as little formality and disruption as possible, having regard to the nature of the complaint
- interested parties to the complaint (for example, the complainant and any respondent) will be heard and/or may provide relevant information in relation to the complaint
- confidentiality and privacy will be maintained as much as possible
- the complainant and any respondent will be offered support as appropriate
- victimising behaviour towards a complainant, respondent or other people associated with the complaint, will not be tolerated
- complainants that lodge a complaint on reasonable grounds will not suffer any other reprisals on the basis of the lodging the complaint

### **Complaints that may be Resolved under this Policy**

St Philomena School encourages anyone who feels impacted by an issue involving the school to file a complaint. Complaints can address matters such as:

- the school, its employees or students having done something wrong
- the school, its employees or students having failed to do something they should have done
- the school, its employees or students having acted unfairly or impolitely
- issues of student or employee behaviour that are contrary to the behaviour management policy or code of conduct, including inappropriate staff conduct as reported by a student<sup>4</sup>
- issues related to learning programs, assessment and reporting of student learning
- issues related to communication with students or parents or between employees
- issues related to school fees and payments
- general administrative issues.

Student complaints may be brought by students or by parents on behalf of their children, as appropriate in the circumstances.

### **Issues Outside this Policy**

The following matters are outside the scope of this policy and should be managed as follows:

- Child protection concerns including allegations of sexual abuse, likely sexual abuse or harm to children should be dealt in accordance with the school's Child Protection Policy.
- Student bullying complaints should be dealt with under the Anti-Bullying Policy or Behaviour Management Policy.
- Student discipline matters, including matters involving suspension or expulsion, should be dealt with under the Behaviour Management Policy.
- Student or employee violence or criminal matters should be directed to the principal who will involve the Police as appropriate.
- Disputes between board members, between company members and board members or between company members should be dealt with in accordance with the Constitution.
- Formal legal proceedings should be managed as appropriate in the circumstances.

---

<sup>4</sup> Education (Accreditation of Non-State Schools) Regulation 2017 s.16(2)(a)

- Complaints relating to the education and training services provided by the school to an overseas student should be dealt with in accordance with the *Education Services for Overseas Students Act 2000* and National Code and the school's Overseas Student's Complaints and Appeals Policy and Process.

## **Responsibilities**

### **School**

The school has the following roles and responsibilities:

- develop, implement, promote and act in accordance with the school's Complaints Handling Policy
- appropriately communicate the school's Complaints Handling Policy to students, parents and employees
- ensure that the Complaints Handling Policy are readily accessible by staff, students and parents
- upon receipt of a complaint, manage the complaint in accordance with the Complaints Handling Policy
- ensure that appropriate support is made available to all parties to a complaint
- take appropriate action to prevent victimisation or action in reprisal against the complainant, respondent or any person associated with them
- appropriately implement remedies
- appropriately train relevant employees
- keep records
- conduct a review/audit of the Complaints Register in regular intervals
- report to the school's insurer when that is relevant

### **All Parties to a Dispute**

The complainant and respondent both have the following role and responsibilities:

- comply with the school's Complaints Handling Policy
- provide complete and factual information in a timely manner
- not provide deliberately false or misleading information
- not make frivolous or vexatious complaints or retaliatory complaints
- act in good faith and maintain a mutually beneficial relationship of trust and cooperation
- act in a calm, courteous manner and non-threatening manner
- acknowledge that a common goal is to achieve an outcome acceptable to all parties
- recognise that all parties have rights and responsibilities which must be balanced
- maintain and respect the privacy and confidentiality of all parties
- not victimise or act in reprisal against any party to the dispute or any person associated with them.

### **Employees Receiving and/or Managing Complaints**

Employees receiving complaints have the following role and responsibilities:

- act in accordance with the school's Complaints Handling Policy

- refer the complainant to the school's Complaints Handling Policy and provide additional information as necessary
- maintain confidentiality as far as possible
- keep appropriate records
- forward complaints to more senior employees, including the Principal, if the complaint cannot be resolved at the initial level or if it involves serious issues that require the involvement of more senior employees
- not victimise or act in reprisal against the complainant, respondent or any person associated with them.

## **Implementation**

St Philomena School is committed to raising awareness of the process for resolving complaints at the school, including by the development and implementation of this policy and via the clear support and promotion of the policy.

St Philomena School is also committed to regular training of employees on the implementation of this policy.

## **Complaint Register**

St Philomena School will maintain a complaint register with details such as the date, source and description of complaints, the employee managing the complaint, the actions taken, outcome and the date the complaint was closed.

The complaint register will be stored securely.

All complaints shall be entered onto the complaint register as soon as practicable after the complaint is received. The complaint register will not contain complaints about the Principal. Records of complaints about the Principal will be maintained by the Board with access restricted to the Board.

To safeguard confidentiality and maintain the integrity of the complaint process, access to the entire complaint register will be limited to the Principal.

The Principal may authorise the sharing of specific, relevant entries from the complaint register with other designated staff members (such as the senior leadership team), provided measures are taken to protect the confidentiality of all parties involved, particularly ensuring that respondents to a complaint do not gain inappropriate access to information about the allegations against them.

## **Complaints Handling Procedure**

### **1. Lodging a Complaint**

- a) Complaints can be lodged with the most appropriate staff member at the local level, for example, the initial contact point for many complaints is the student's relevant classroom teacher.
- b) Complaints can be lodged through various methods, including:
  - i. Phone
  - ii. Email
  - iii. In-person (by appointment)

- c) If the complainant is unsure where to direct their complaint, they can contact the school's administration office for guidance.
- d) If the complainant is uncomfortable directing the complaint to the most appropriate member at the local level, or wants to make a formal complaint, they can submit a complaint by:
  - i. Completing the online complaint form (available on the school website)
  - ii. Using the anonymous reporting system
  - iii. Report to member of the executive
- e) Where an anonymous complaint is lodged, the school will follow the complaints handling policy, when there is sufficient information to do so.

## **2. Acknowledgement, Assessment and Referral**

- a) The staff member receiving the complaint will:
  - i. acknowledge the complaint within two (2) business days, outlining the next steps and where possible the estimated timeframes.
  - ii. assess the complaint, using the definitions of informal and formal complaints in this policy, and refer the complaint to the informal or formal complaints process.

## **3. Registration and Support**

- a) The recipient of the complaint will promptly enter it onto the complaints register, regardless of whether it proceeds through the informal or formal process.
- b) The recipient of the complaint will offer support to the complainant as appropriate, which may include assistance with completing forms or understanding procedures.
- c) If a student is a complainant, respondent or victim, or the child of a complainant, respondent or victim of a matter being managed through this policy, the school may offer the student support where appropriate e.g. discussing suitable adjustments with parents/caregivers.

## **4. Informal Complaints Handling Process**

- a) The informal process is designed to resolve issues promptly and collaboratively at the local level.
- b) It may involve constructive discussion and negotiation between the complainant and the relevant staff member(s).
- c) If the complaint cannot be resolved informally, it will be escalated to the formal process.

## **5. Formal Complaints Handling Process**

- a) The formal process begins with the assessment of the complaint by a designated staff member (e.g., a member of the senior leadership team or the board chair for complaints against the principal)
- b) The staff member may gather additional information through investigation, interviews, or evidence review
- c) The staff member will determine appropriate action, which may include:
  - iii. Mediation
  - iv. Disciplinary measures
  - v. Implementation of policy changes
  - vi. Referral to external agencies (e.g., police)
  - vii. Provision of written updates to the complainant throughout the process
  - viii. Other actions the principal determine as appropriate in the circumstances.

## **6. Complaint Closure**

- a) The complaint register will be updated with the date the complaint is closed and a brief summary of the outcome.
- b) The complainant will receive written notification of the outcome and any actions taken where appropriate.

## **7. Appeals Process**

- a) Complainants may appeal the outcome of a complaint by writing to:
  - i. the principal (for complaints not previously managed by the principal)
  - ii. the board chair (for complaints previously managed by the principal).